Homework Instructions and Compliance Assessing in the Therapeutic Process: An Analysis of Therapists’ Verbal Behavior*

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ABSTRACT
Instructions are a common resource used by behavioral therapists to assign therapeutic homework. However, understanding how clients learn with instructions is better known in laboratory research than in clinical research. The present study aims to explore changes in the way of instructing and reviewing the client’s compliance throughout the therapeutic process. We analyzed the therapist’s verbal behavior during 211 recorded sessions corresponding to 19 cases treated by 11 behavioral therapists (53% male and 47% female). The sessions were divided into four stages according to the timing of the intervention and coded by using a previously validated coding system (SYST-INTER-INSTR). Results show that instructions become less specific towards the last stage of the intervention. However, therapists assess task compliance in the same way towards the end of the therapeutic process. The change in specificity suggests that the client’s behavior changes from being controlled by instructions to being controlled by natural contingencies. However, to make sure that the clinical change remains; therapists assess and reinforce the client’s compliance until the end of the intervention. Although clinical implications of the results, some limitations (i.e., not considering the type of task instructed) should be addressed in future studies.

Keywords
instructions; homework; verbal behavior; compliance; therapeutic process.


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RESUMEN
Las instrucciones son un recurso utilizado por los terapeutas conductuales para asignar las tareas terapéuticas. No obstante, conocemos más sobre el aprendizaje por instrucciones en el laboratorio que en el campo aplicado. El objetivo del estudio es analizar la forma de instruir y revisar el cumplimiento a lo largo del proceso terapéutico. Se analizó la conducta...
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verbal del terapeuta en 211 sesiones de 19 casos tratados por 11 terapeutas de orientación conductual (57% hombres y 47% mujeres). Se dividieron las sesiones según la fase en la que se encontraba la intervención y se codificaron utilizando un sistema de categorización validado (SIST-INTER-INSTR). Los resultados muestran que las instrucciones se vuelven menos específica hacia el final de la intervención. Sin embargo, la forma de evaluar el cumplimiento permanece estable. Estos resultados sugieren que la conducta del cliente pasa de estar controlada por las instrucciones a estar controlada por las contingencias naturales. Sin embargo, para asegurarse del mantenimiento del cambio clínico, los terapeutas siguen evaluando y reforzando el cumplimiento hasta el final de la intervención. A pesar de las implicaciones clínicas de los resultados, algunas limitaciones (como, por ejemplo, no tener en cuenta el tipo de tarea terapéutica) requieren ser abordadas en futuros estudios.

Palabras clave

instrucciones; tareas; conducta verbal; cumplimiento; proceso terapéutico.

Homework is one of the main tools therapists use to achieve therapeutic goals from a behavioral perspective (Kazantzis et al., 2016). This therapeutic resource entails the use of instructions to encourage the acquisition of new behaviors that will lead to clinical change. However, this behavior acquisition will not be possible if the client does not comply with the assigned homework. As well as providing the instructions, the therapist must ensure that the client is complying by completing the assignment (Coon & Gallagher-Thompson, 2002); thus, therapeutic interaction and, specifically, the therapist’s verbal behavior, is a key element for studying both commitments (Montaño et al. 2013).

However, verbal behavior is not a static element in psychological treatment; it changes throughout the therapeutic process and is also dependent on the therapist’s goals (Follette, Naugle, & Callaghan, 1996; Froján, Montaño, & Calero, 2010; Rosenfarb, 1992; Ruiz, Froján, & Calero, 2013; Ruiz, Froján, & Galván, 2015; Tsai et al., 2009; Vargas et al., 2017). On this basis, it might be expected that how the therapist chooses to give instructions regarding homework and assesses the client’s compliance will change over the different stages of the intervention, reflecting how clients incorporate new strategies into their behavioral repertoire. Nevertheless, this process is an issue that is still to be addressed in clinical psychology research.

Homework instructions and compliance assessment have been studied extensively in clinical psychology research, taking the form of guidelines than have traditionally been useful for therapists and, specifically, for new therapists. For example, Shelton and Levy (1981) state that therapists must be specific when they assign therapeutic homework, while others suggest the importance of providing examples or asking for the task to be completed in the clinical setting (Garland & Scott, 2002; Huppert, Roth, & Foa, 2006). Others even ask the client for feedback on the task (Cronin et al., 2015). Regarding the assessment of compliance, the most frequently offered tips for therapists are the importance of asking the client about the homework implementation and any problems encountered, as well as reinforcing compliance (Cronin et al., 2015; Garland & Scott, 2002; Dattilio, 2002).

However, we find two limitations in clinical psychology research. Firstly, researchers have focused predominantly on analyzing prototypical ways of performing these tasks based on the most appropriate, without taking into account the therapist’s therapeutic goals, or the intervention stage. This does not solve the absence of systematicity when therapists instruct and assess the client compliance reported by some researchers (Kazantzis & Deane, 1999). Secondly, these studies have been principally built upon the questionnaire and interview method. These are addressed to therapists and clients, who provide information on what therapists and clients report regarding the therapist’s performance, rather than an objective examination by an external observer of how a therapist instructs and assesses client’s compliance on a session-by-session basis. For example, Conklin, Strunk and Cooper (2018) used a questionnaire to measure therapist behaviors related to homework assignment and compliance assessment to show the relationship
between a client’s engagement with between-
sessions homework.

In the experimental field, the use of
instructions and the manner of providing
instructions have traditionally been a subject
of interest in laboratory experiments to analyze
their contribution to the learning process (Baron
& Galizio, 1983). The vast majority of these
experimental studies have demonstrated that
instructions play an important role in the
acquisition of a new response, as does the
type of instruction used; in particular, the way
instructions are given (e.g., the use of accurate
or inaccurate instructions) (Kaufman, Baron, &

Other studies have revealed that instructions
are not the only element that influences the
acquisition and maintenance of the behavior, but
also the interaction with the reinforcement. The
researchers involved in these studies concluded
that, while the accuracy of instructions is
essential at the start of the learning process,
the way the contingencies interact with
the instructions has a greater impact on
maintenance. In other words, these studies
suggest that the most appropriate way of giving
instructions depends on what stage of the
learning process the participant is in, i.e., the
stage of the experiment (Ayllon & Azrin, 1964;
Martínez, Ortiz, & González, 2007; Martínez &
Tamayo, 2005).

To reach their conclusions, behavior analysts
manipulate the type of instructions and
contingencies throughout several stages or
experimental sessions to monitor the changes in
the participants’ performance (i.e., Kissi et al.,
2018). In this regard, we believe that learning
processes with instructions that take place in
laboratory experiments may be similar to those
that occur in clinical treatment, and this may
be reflected in changes in the accuracy when
a therapist gives instructions for homework
and reviews client compliance throughout the
treatment stages: moving from a more accurate
to a more general model of issuing instructions.
However, there is an absence of longitudinal
studies addressing this issue due to the lack
of interest in the field of clinical research for
experimental findings.

Taking into account the unresolved questions
and the limitations of previous studies in the
clinical field described above, the present study
has a twofold objective: firstly, to explore the
changes in the verbal behavior of the therapist
when giving instructions for homework and
assessing the client’s compliance by using the
observational methodology and, secondly, to use
the main conclusions from the experimental field
within the framework of the instructions in order
to explain the results obtained. Even though
the latter is a theoretical aim and refers to
the discussion of the results, we consider it of
great relevance to establish an initial approach
to the applicability of experimental findings
in the clinical field. We hypothesize that: (a)
therapists’ instructions for homework become
less accurate by the last stage of the treatment,
and (b) therapists also become less accurate when
assessing clients’ compliance with the instructed
homework as the intervention proceeds.

Method

Participants

We analyzed 211 recorded clinical sessions,
each lasting approximately one hour and
encompassing 19 treatment cases. In all cases,
clients expressed their consent for the recording
and observing the sessions, in adherence to
articles 40 and 41 of the Spanish Deontological
Code for Psychologists. All clients were adults
and were treated by 11 different therapists from a
behavioral perspective. Table 1 shows a summary
of the sample used in this study. Because the
interest of the study lies in a general description
of the therapeutic process, from a behavioral
perspective, functional analysis is more relevant
than diagnostic categories. The type of clinical
problem was not considered, but they are detailed
for informational purposes.
Table 1

Characteristics of the sample

<table>
<thead>
<tr>
<th>Case</th>
<th>Session number and duration (h)</th>
<th>T</th>
<th>Srt</th>
<th>Age</th>
<th>Problem type</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>13 (60:58:48)</td>
<td>1</td>
<td>F</td>
<td>29</td>
<td>Depressed mood</td>
</tr>
<tr>
<td>2</td>
<td>19 (60:48:54)</td>
<td>1</td>
<td>F</td>
<td>32</td>
<td>Partnership problems</td>
</tr>
<tr>
<td>3</td>
<td>8 (0:39:20)</td>
<td>1</td>
<td>F</td>
<td>36</td>
<td>Agoraphobia</td>
</tr>
<tr>
<td>4</td>
<td>19 (60:48:00)</td>
<td>2</td>
<td>M</td>
<td>42</td>
<td>Anxiety</td>
</tr>
<tr>
<td>5</td>
<td>12 (60:59:21)</td>
<td>2</td>
<td>M</td>
<td>58</td>
<td>Depressed mood</td>
</tr>
<tr>
<td>6</td>
<td>13 (60:48:36)</td>
<td>3</td>
<td>M</td>
<td>36</td>
<td>Anxiety</td>
</tr>
<tr>
<td>7</td>
<td>8 (0:46:17)</td>
<td>3</td>
<td>M</td>
<td>18</td>
<td>Panic attacks</td>
</tr>
<tr>
<td>8</td>
<td>12 (60:51:55)</td>
<td>4</td>
<td>M</td>
<td>48</td>
<td>Anxiety</td>
</tr>
<tr>
<td>9</td>
<td>9 (0:54:44)</td>
<td>5</td>
<td>F</td>
<td>29</td>
<td>Anxiety</td>
</tr>
<tr>
<td>10</td>
<td>12 (61:07:00)</td>
<td>6</td>
<td>M</td>
<td>34</td>
<td>Hypochondria</td>
</tr>
<tr>
<td>11</td>
<td>11 (61:04:31)</td>
<td>7</td>
<td>F</td>
<td>24</td>
<td>Family conflicts</td>
</tr>
<tr>
<td>12</td>
<td>7 (0:48:47)</td>
<td>2</td>
<td>F</td>
<td>25</td>
<td>Eating problems</td>
</tr>
<tr>
<td>13</td>
<td>8 (0:43:33)</td>
<td>4</td>
<td>M</td>
<td>21</td>
<td>Anorexia</td>
</tr>
<tr>
<td>14</td>
<td>11 (61:01:23)</td>
<td>5</td>
<td>F</td>
<td>34</td>
<td>Partnership break-up</td>
</tr>
<tr>
<td>15</td>
<td>8 (0:52:20)</td>
<td>8</td>
<td>F</td>
<td>19</td>
<td>Social skills deficit</td>
</tr>
<tr>
<td>16</td>
<td>19 (61:09:29)</td>
<td>9</td>
<td>M</td>
<td>22</td>
<td>Anxiety</td>
</tr>
<tr>
<td>17</td>
<td>19 (60:53:00)</td>
<td>10</td>
<td>M</td>
<td>22</td>
<td>Anxiety</td>
</tr>
<tr>
<td>18</td>
<td>16 (61:01:25)</td>
<td>10</td>
<td>M</td>
<td>22</td>
<td>Social skills deficit</td>
</tr>
<tr>
<td>19</td>
<td>14 (60:56:48)</td>
<td>11</td>
<td>F</td>
<td>23</td>
<td>Injurious behavior</td>
</tr>
</tbody>
</table>

T=Therapist number; M=Male; F=Female; ¹Number of the sessions of the case and average time of sessions in each case

Variables and instruments

a. Verbal behavior of the therapist:

We used The Observer XT © software (version 12) to observe, code, analyze all the clinical sessions, and compute the intra- and interjudge reliability of registered sessions. The codification of the therapist’s verbal behavior was carried out using the therapist’s categories described in The Categorization System of the Therapist and the Client’s Verbal Behavior During the Instructions Emission and Compliance Review (SYST-INTER-INSTR) developed by our research team in order to study the verbal interaction between therapists and clients when therapists assign between-session homework and review the client’s compliance. A brief description of the development of this instrument is presented in the procedure section of this study.

Table 2

Therapists’ categories included in SYST-INTER-INSTR

<table>
<thead>
<tr>
<th>Components of instructional blocks</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Formal information</td>
<td>If the therapist asks the client to give a specific response to the homework assigned</td>
</tr>
<tr>
<td>With/Without specific situation</td>
<td>If the therapist asks the client to give a specific response to the homework assigned</td>
</tr>
<tr>
<td>With/Without example</td>
<td>If the therapist asks the client to give a specific response to the homework assigned</td>
</tr>
<tr>
<td>With/Without written instructions</td>
<td>If the therapist provides written instructions for the homework assigned to the client</td>
</tr>
<tr>
<td>With/Without role-playing</td>
<td>If the therapist provides written instructions for the homework assigned to the client</td>
</tr>
<tr>
<td>Categories within the instructional blocks</td>
<td>Description</td>
</tr>
<tr>
<td>Formal information</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Verbal feedback</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Difficulty level</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Opinion discrimination</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Performance discrimination</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Compliance discrimination</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Categories within the compliance review blocks</td>
<td>Description</td>
</tr>
<tr>
<td>Specific compliance discrimination</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Non-specific compliance discrimination</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Compliance discrimination of difficulty</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Compliance discrimination of performance</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
<tr>
<td>Reinforcement</td>
<td>Instruction used to ensure that the client understands the task</td>
</tr>
</tbody>
</table>

b. Intervention stages:
We divided the sessions into four different stages relating to the periods of the therapeutic process:

- **Assessment**: from the first session to the session prior to the one in which a functional analysis explanation is given.
- **Explanation**: the session in which the therapist explains to the client the functional analysis (the beginning and maintenance hypothesis regarding the problem).
- **Treatment**: from the first session after the functional analysis explanation session to the session in which the therapist begins to space out the clinical sessions.
- **Follow-up**: from when therapists move to weekly and fortnightly clinical sessions until the end of the therapy.

**Procedure**

The starting point of the study was the instruction categories determined by our team’s previous research, but we focused only on those verbalizations used by the therapist when assigning between-session tasks (Froján et al., 2010; Froján & Ruiz, 2013; Ruiz et al., 2013). First, an expert in behavioral psychological therapy with experience in observational methodology and therapeutic interaction analysis (Observer 1) analyzed different sessions of different cases to propose a preliminary categorization system of therapists’ verbal behavior when giving instructions and reviewing the client’s compliance. In parallel, a team with expertise in categorization system development reviewed the preliminary categories periodically. In these meetings, categories could be eliminated, modified, or created according to the theoretical and clinical requirements.

After the preliminary categorization system was created, a second observer (Observer 2), also an expert in behavioral psychological therapy and observational methodology, coded the same sessions as Observer 1 to refine the categorization system through the agreement percentage and Cohen's kappa. Disagreements or queries resulting from the comparison were discussed at periodic meetings with the expert team. Conclusions from the meetings were added to the categorization system and taken into account to code the subsequent sessions.

The categorization system refinement stage was completed when the agreement percentage and Cohen’s kappa were consistently evaluated between ‘good’ and ‘excellent’ (Bakeman, 2000; Landis & Koch, 1977) in ten consecutive sessions. After the refinement stage, we selected the sample for study and began to observe and code every session. The sessions were divided according to the treatment stages previously described to fulfill the objectives of this study. To guarantee the coding quality, we periodically evaluated the intra- and interjudge reliability by analyzing the agreement percentage and Cohen’s kappa. After every complete case coded by Observer 1, two sessions of the same case were coded by Observer 2: a first session is chosen at random (instructional blocks session), and the session after it (compliance review blocks session).

In all the comparisons, the agreement percentage and Cohen’s kappa retained similar values to those of the categorization system refinement stage. Finally, the data from the coded sessions was exported to SPSS™ software for analysis.

**Data analysis**

Given the non-compliance of ANOVA conditions, we used Friedman’s test to compare both hypotheses. Friedman’s test is a non-parametric test equivalent to the ANOVA for repeated measures, used to analyze the existence of statistically significant differences in percentages of emission of therapist categories between the intervention stages. When those differences were statistically significant, we also used the Wilcoxon test to analyze the differences between the stages by pairs.

**Results**

a) Differences between treatment stages in the way of instructing homework (instructional blocks):
In order to test the first hypothesis, Table 3 shows the descriptive statistics regarding the components of instructional blocks divided by each stage of the therapeutic process. These results demonstrate a growing trend in the use of formal instructional blocks from the initial stages of the therapeutic process to the Treatment stage, but a decrease in the final stage. In fact, most instructional blocks are formal in all intervention stages (more than 50%) except in Follow-up, where the most frequent instructional blocks are not formal. The differences between intervention stages were statistically significant ($\chi^2(3) = 11.146; p = 0.011$). In the comparison by pairs of the therapeutic process stages, we found statistically significant differences between Follow-up and the other three stages: Treatment ($z = -3.582; p < 0.01$), Assessment ($z = -2.172; p = 0.03$), and Explanation ($z = -2.276; p = 0.023$).

Regarding the use of instructional blocks in specific situations, descriptive statistics show some stability throughout all the stages but increased during the Explanation stage. However, no statistically significant differences were found between the intervention stages ($\chi^2(3) = 1.152; p = 0.765$) as in the case of instructional blocks, for example, although the descriptive statistics showed a decrease in the final stage of the intervention ($\chi^2(3) = 5.227; p = 0.156$).

For instructional blocks with written instructions and with role-playing, we found statistically significant differences between intervention stages ($\chi^2(3) = 11.603; p = 0.009$ and $\chi^2(3) = 12.064; p = 0.007$ respectively), and, specifically, in both cases in the same two pairs of stages: between Assessment and Follow-up ($z = -2.461; p = 0.014$ and $z = -2.527; p = 0.012$ respectively) and between Treatment and Follow-up ($z = -2.776; p = 0.006$ and $z = -3.062; p = 0.002$ respectively).

### Table 3

**Descriptive statistics of components of instructional blocks by treatment stages**

<table>
<thead>
<tr>
<th>Instructional block</th>
<th>Assessment</th>
<th>Explanation</th>
<th>Treatment</th>
<th>Follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>M</td>
<td>SD</td>
<td>M</td>
<td>SD</td>
</tr>
<tr>
<td>Formal</td>
<td>58.66</td>
<td>7.61</td>
<td>67.83</td>
<td>9.99</td>
</tr>
<tr>
<td>With specific situation</td>
<td>28.38</td>
<td>20.67</td>
<td>40.09</td>
<td>14.6</td>
</tr>
<tr>
<td>With explicit written instruction</td>
<td>43.81</td>
<td>3.45</td>
<td>25.78</td>
<td>39.75</td>
</tr>
<tr>
<td>With role-playing</td>
<td>16</td>
<td>26.63</td>
<td>4.42</td>
<td>9.98</td>
</tr>
</tbody>
</table>

We obtained the descriptive statistics shown in Table 4 in the analysis of the categories within the instructional blocks. In general, the data shows an increase of all types of discriminative in the explanation stages and a decrease in the follow-up stage, except for comprehension discriminative, which has a different trend: a higher rate of emission in the first stage of the intervention, and a progressive decrease as the intervention proceeds. Beyond these descriptive results, we only found statistically significant differences between stages for comprehension discriminative and performance discriminative ($\chi^2(3) = 8.643; p = 0.034$ and $\chi^2(3) = 12.000; p = 0.007$, respectively). However, when we carried out a pairwise comparison analysis, we only found statistically significant differences between the Assessment and Treatment stages ($z = -2.521; p = 0.012$), and between the Treatment and Follow-up stages ($z = -2.521; p = 0.012$) for performance discriminative.

### Table 4

**Descriptive statistics of categories within the instructional block by treatment stages**

<table>
<thead>
<tr>
<th>Discriminative</th>
<th>Assessment</th>
<th>Explanation</th>
<th>Treatment</th>
<th>Follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td>Opinion</td>
<td>M</td>
<td>SD</td>
<td>M</td>
<td>SD</td>
</tr>
<tr>
<td></td>
<td>3.91</td>
<td>10.31</td>
<td>8.04</td>
<td>19.66</td>
</tr>
<tr>
<td>Difficulty</td>
<td>0</td>
<td>0</td>
<td>1.63</td>
<td>4.05</td>
</tr>
<tr>
<td>Viability</td>
<td>3.25</td>
<td>9.27</td>
<td>12.14</td>
<td>28.87</td>
</tr>
<tr>
<td>Comprehension</td>
<td>6.84</td>
<td>23.1</td>
<td>4.43</td>
<td>10.94</td>
</tr>
<tr>
<td>Performance</td>
<td>0</td>
<td>0</td>
<td>3.1</td>
<td>9.1</td>
</tr>
</tbody>
</table>

b) Differences between treatment stages in the way of assessing homework compliance (review blocks):

Table 5 shows descriptive statistics for all the categories of interest within the review blocks. As is shown, specific compliance discriminative shows a small decrease in emission rate from the Assessment stage to the Explanation stage, but a progressive increase as the therapeutic process proceeds. Conversely, non-specific compliance
discriminative shows a small increase in the Explanation stage but a progressive decrease at the end of the intervention. In both cases, differences between intervention stages are not statistically significant ($\chi^2(3) = 0.78; p = 0.854$ and $\chi^2(3) = 7.649; p = 0.054$, respectively).

Regarding the other two types of compliance discriminative, we found a pronounced increase of emission of compliance discriminative of difficulty in the Explanation stage, but an important decrease in the Treatment stage that remains stable in the last intervention stage. The compliance discriminative of performance differed, remaining stable in the first two stages of the intervention, but the rate of emission increases in the Treatment stage and remains more or less stable, despite a small decrease, until the end of the intervention. Differences between intervention stages in these two categories were statistically significant ($\chi^2(3) = 7.883; p = 0.048$ and $\chi^2(3) = 20.25; p < 0.01$, respectively). Specifically, statistically significant differences by pairs were found between Assessment and Treatment stages in both compliance discriminatives ($z = -2.430; p = 0.015$ and $z = -3.464; p = 0.001$ respectively) and also between Explanation and Treatment stages for the second of these ($z = -3.110; p = 0.002$).

Finally, the descriptive data of reinforcement category emission shows a small decrease from the beginning of the intervention to the Explanation stage, but a significant increase in Treatment, which remains more or less stable until the Follow-up, although there is a small decrease. These differences between stages had statistically significant results ($\chi^2(3) = 7.927; p = 0.048$) but only focused on the pairwise comparison Assessment and Explanation ($z = -2.032; p = 0.042$).

**Table 5**

<table>
<thead>
<tr>
<th>Categories</th>
<th>Assess</th>
<th>Expla</th>
<th>Treat</th>
<th>Treat</th>
<th>Follow</th>
</tr>
</thead>
<tbody>
<tr>
<td>Specific compliance discriminative</td>
<td>52.21</td>
<td>42.29</td>
<td>45.71</td>
<td>49.87</td>
<td>60.31</td>
</tr>
<tr>
<td>Non-specific compliance discriminative</td>
<td>11</td>
<td>18.86</td>
<td>14.5</td>
<td>29.14</td>
<td>10.36</td>
</tr>
<tr>
<td>Compliance discriminative of difficulty</td>
<td>1.91</td>
<td>5.00</td>
<td>28.57</td>
<td>61.12</td>
<td>9.21</td>
</tr>
<tr>
<td>Compliance discriminative of performance</td>
<td>1.65</td>
<td>4.58</td>
<td>1.45</td>
<td>5.34</td>
<td>15.29</td>
</tr>
<tr>
<td>Reinforcement</td>
<td>41.08</td>
<td>37.08</td>
<td>20.59</td>
<td>38.60</td>
<td>53.94</td>
</tr>
</tbody>
</table>

**Discussion**

The purpose of the present study was to analyze how behaviorist therapists give instructions for homework, assess the client’s compliance, and consider the main results of the experimental field in instructions research. Although we can conclude that the proposed objective has been met, we can only partially confirm the first hypothesis, as explained below.

In the first place, we found that the emission of formal instructional blocks, which are considered the most accurate way of instructing, is the most frequent instructional block during much of the therapeutic process. This result is similar to findings by other researchers in the experimental and applied fields. On the one hand, some researchers from the experimental field note the importance of accuracy by specifying the behavior required to adjust the participants’ performance to the experimental task and also to put their behavior into contact with the programmed contingencies (Hayes et al., 1986; Kaufman et al., 1966; Lippman & Meyer, 1967; Miller et al., 2014; Weiner, 1970). On the other hand, researchers from the clinical field suggest the importance of being directive and specific about the behavior that the client must put into practice in order to encourage compliance and, ultimately, to experience natural contingencies (Cronin et al., 2015; Mazzullo, Lasagna, & Griner, 1974; Morrison & Wixted, 1989).

However, as shown, instructional blocks become informal in the last intervention stage; in other words, the therapist becomes less accurate when specifying the behavior to put into practice when giving instructions for homework. In a clinical sense, this result may be expected, given that the therapist no longer needs to be so directive and specific regarding the homework assignment after the Explanation and Treatment stages, perhaps because the client has probably acquired the new behavior and, therefore, the therapist only needs to suggest, even in a casual way, what the client must put into practice. Moreover, this interpretation could be related to the instructional blocks with written instructions and role-playing, displaying decreased emission
frequency until the end of the therapeutic process. Similarly, from the perspective of clinical practice, it is reasonable to consider that, in the initial stages of the intervention, the client requires a demonstration through role-playing of the new behavior instructed, and also tangible support for verbal instructions in the form of written instructions, in order to encourage the client to remember the task and implement it correctly (Cox, Tisdelle, & Culbert, 1988; DiMatteo & DiNicola, 1982; Huppert et al., 2006; Thompkins, 2002). However, these resources may not be necessary at this advanced stage of the intervention because the client may have automated the behavior.

From our perspective, the decrease in the previous three instructional blocks could be understood as a decrease in the concreteness of behavior or, in other words, a less accurate way of giving instructions. These findings can also be explained through experimental analysis of behavior, as some experiments revealed that, while the accuracy of the instructions issued is essential at the beginning of the learning process for acquiring the response and to putting it into contact with the contingencies, the application of contingencies is more important for maintaining the response (Ayllon & Azrin, 1964; Baron & Galizio, 1983; Cerutti, 1994; Cronin et al., 2015; Galizio, 1979; Hayes et al., 1986; Hojo, 2002; Kaufman et al., 1966; Martínez & Ribes, 1996; Martínez et al., 2007; Martínez & Tamayo, 2005; Mazzullo et al., 1974; Morrison & Wizted, 1989; Okouchi, 1999; Ortiz et al., 2007; 2008; Podlesnik & Chase, 2006; Radley et al., 2018).

This interpretation suggests that, in the early stages of intervention, the therapist should be specific when instructing tasks (for example, ‘this week you have to expose yourself to touch the subway bars at least once a day, as we will practice now in session’). The therapist should also ensure accuracy by providing written tasks and carrying out controlled exposures to the behavior within the session such as role-playing. These accurate instructions may play a role in controlling the client’s behavior in the early stages of intervention. However, towards the end of the intervention, this behavior control may be due to the effect of contingencies, when the client has learned the behavior and experienced natural contingencies (for example, checking that anxiety diminishes despite having touched the subway bar). Thus, given that the accuracy of instructions seems less important in the final stage of intervention, the therapist can use general instructions (for example, ‘remember, the exposure tasks’).

Unlike the previous findings, which allow us to confirm the first hypothesis, the stability in the emission rates of instructional blocks with specific situations and examples is the opposite of what we expected. Another striking result is the low rate of emission of these instructional blocks, which do not exceed 41 percent and 24 percent, respectively, in any intervention stage. These results may be due to the therapist seeking to help the client perform the new behavior in different environments, rather than merely in the specified situation or example. This interpretation is strengthened by some experimental studies, which suggest that following instructions in a specific situation may provoke a context-specific response, and therefore hinder sensitivity to other environments (Braem et al., 2017).

To complete our evaluation of the first hypothesis, we refer to the results of the categories within the instructional block, and specifically to the emission rate of discriminative types throughout the therapeutic process, which disagree with what we expected. Statistical differences between intervention stages were found only for the performance discriminative. A possible explanation for these results is that pronounced differences in variability of emission of these categories between stages make it difficult to estimate statistical significance. However, performance discriminative shows a different trend, a fact that has repercussions in the statistical significance found in the differences between intervention stages. In this sense, the findings suggest that therapists are more interested in assessing how the client will put the instructed task into practice in the Treatment stage. This result is congruent with the clinical perspective, because therapists most
Homework Instructions and Compliance Assessing in the Therapeutic Process: An Analysis of... frequently assign therapeutic tasks in this stage, and, in most cases, require the implementation of new behaviors. Thus, a therapist may be interested in anticipating potential difficulties in task implementation to adjust it to contingencies (e.g., ‘tell me how you are going to tell her’). However, this is not essential in the final stage of the intervention, when the client has probably acquired the behavior correctly and, hence, the emission rate of this discriminative decreases. Moreover, this result is in accordance with clinical guidelines that note the importance of a collaborative attitude from the therapist through the use of questions when assigning homework (e.g., discriminative) to anticipate possible obstacles for compliance (Cronin et al., 2015; Freeman & Rosenfield, 2002; Kazantzis et al., 2017; Kazantzis & Deane, 1999; Kazantzis & Lampropoulos, 2002; Okamoto et al., 2019).

Regarding the second hypothesis on the review blocks, the emission rate of specific and non-specific compliance discriminative remains stable throughout all intervention stages. These findings suggest that the therapist assesses compliance in the same way throughout the intervention, and consequently, rejects our hypothesis. Additionally, we found a higher emission rate of specific, as opposed to non-specific, compliance, which suggests that the therapist asks about compliance about specific tasks (e.g., ‘have you touched the subway bars daily?’) and not for general compliance (e.g., ‘have you done your homework?’). We find two plausible explanations for these findings: on the one hand, therapists may want to maintain the behavior changes achieved by the client and therefore continue the compliance assessment of each specific task. Furthermore, this interpretation could be related to the recommendation from applied researchers to therapists, which advocates being directive when assessing homework compliance (e.g., Garland & Scott, 2002). On the other hand, the fact that the client has to report on their compliance may indicate that the therapist hopes to ensure that the client establishes a relationship between their response to contingencies, including the antecedents and consequences, which has been shown in experimental research to have a positive effect on future performance (Ortiz et al., 2006; Ortiz, 2010).

The results of the compliance discriminatives for difficulty and performance were also different from expected: an increase in the emission rate in the Treatment stage, and stability from this stage onwards to the end of the intervention. Specifically, the only statistically significant differences found were between Assessment and Treatment for both compliance discriminatives, and between Explanation and Treatment for the second. These findings lead us to reject the proposed hypothesis again, and to raise a possible explanation similar to the previously analyzed compliance discriminatives. Additionally, therapists continuing to assess compliance with tasks until the final stage of the intervention, probably to ensure behavioral changes, may also be interested in hearing the client’s feedback regarding potential difficulties in the performance of the instructed tasks to ensure their correct implementation.

In addition to this, it is logical that there should be an increase of these compliance discriminatives from the Treatment stage, given that this was the stage of the therapeutic process when therapists began to give instructions for more complex tasks, often requiring difficult changes in behavioral habits. Thus, therapists want to know not simply whether clients are complying with tasks, but also how they are complying, a therapeutic strategy frequently recommended to clinicians (Garland & Scott, 2002).

Finally, the results on the reinforcement emission rate, which demonstrate that the rate is maintained until the last stage of the intervention, also lead us to reject the hypothesis formulated. From the perspective of clinical practice, this result could be interpreted as the therapist’s desire to maintain behavioral changes by managing contingencies, until the natural contingencies are effective. For example, a therapist could reinforce the client when reports the compliance with the task ‘going out with friends on Saturday night’ throughout the therapy to ensure the...
task compliance until the natural contingency (having a good time on Saturday night) occurs. Moreover, this assumption is strengthened by experimental studies that demonstrate the role of reinforcement for maintaining responses learned through instructions (Baron & Galizio, 1983; Cerutti, 1994; Galizio, 1979; Hojo, 2002; Martínez & Ribes, 1996; Martínez et al., 2007; Martínez & Tamayo, 2005; Okouchi, 1999; Olaff & Holth, 2020; Ortiz et al., 2007; Ortiz et al., 2008; Podlesnik & Chase, 2006). Nonetheless, the reinforcement rate emission is not maintained throughout every stage, decreasing the Explanation stage. The therapeutic process structure may explain this finding, because the therapist explains the functional analysis to the client during this stage and, therefore, it is probable that the therapist is focusing on explaining the client's problem rather than assessing compliance with tasks.

The present study has provided a descriptive longitudinal view of how therapists instruct and review the task compliance throughout the psychological intervention. In general, findings demonstrate that clients are instructed less accurately as the intervention progresses; nevertheless, the way that compliance is reviewed is maintained. Illustratively, this process displays parallels with laboratory experiments in which, after instructing the participants, the instructions are withdrawn in order to observe the learning responses when the participants come into contact with the programmed contingencies. However, counters are maintained throughout all stages to record these responses and provide contingencies. From our perspective, in psychological treatments, changes in the therapist's verbal behavior when giving instructions and assessing compliance could also be the result of a similar learning process. However, to prove this hypothesis, it would be necessary to incorporate the client's verbal behavior to analyze therapist-client interaction. This represents a limitation of the present study, but a prospective line of investigation for future research.

Other limitations to this study should be acknowledged: analysis of the therapist's verbal behavior by successive stages instead of session by session; analysis of all the tasks instructed rather than separating them by type, and the use of experimental findings to support our results. Despite these limitations, we consider that the present study represents an interesting and novel attempt to advance the scientific study of behavior within the clinical context, supported by consolidated lines of research in experimental analysis behavior. Although we are conscious of the limitations of comparing laboratory experiments with those that take place in a clinical context, we also aware of the need in applied psychology to incorporate research findings in controlled contexts for a better understanding of human behavior and, ultimately, to establish a link between theory and practice in psychology.

References


Homework Instructions and Compliance Assessing in the Therapeutic Process: An Analysis of...


Ortiz, G. (2010). Precisión de descripciones, retroalimentación y conocimiento de la...


Notes

* Research article.